



**SWS Securities, Inc. and/or Broker/Dealers for which it clears**  
 SWS Securities, Inc. Member NYSE/NASD/SIPC

**Trustee Certification of Investment Powers**

Use this form to establish investment powers for a Trust.

**1. Trust Information.**

Title of Trust (Example: John Smith (and Tom Brown) Trustee(s) for the benefit of Ann Smith)

Date Trust Formed

**2. Investment Types Allowed. (Check ALL that apply.)**

- Margin       US Agency Securities       Corporate Bonds       Corporate Stock       Foreign Securities
- Options       US Government Securities       Municipal Bonds       Commodities

**3. Agreement. (Please read and sign.)**

In consideration of your opening and/or maintaining a SWS Securities, Inc. ("SWS") account(s) for the Trust named above, the undersigned trustee(s), certify that all of the information provided above is correct. There are no other Trustees of the Trust other than the undersigned. SWS has the authority to accept orders and other instructions relative to the trust accounts from the undersigned. They may execute any documents on behalf of the Trust, which SWS may require. Unless it is specified otherwise, any one of undersigned may individually act on behalf of the Trust. The undersigned certify that they have the power under the Trust Agreement and applicable law to enter into the investment types and option strategies checked above. The undersigned Trustees, jointly and severally, indemnify SWS and hold SWS harmless from any liability for effecting any transactions of the type specified above, should SWS act pursuant to the instructions given by the undersigned. The undersigned agree to inform SWS, in writing, of any amendment to the Trust, any change in the composition of the Trustees or any other event which could alter the certifications made above.

**Note:** All trustees must sign. Should only one person execute this agreement it shall be representative that the signer is the sole trustee.

|                        |          |                     |      |
|------------------------|----------|---------------------|------|
| Trustee 1 Printed Name | <b>X</b> | Trustee 1 Signature | Date |
| Trustee 2 Printed Name | <b>X</b> | Trustee 2 Signature | Date |
| Trustee 3 Printed Name | <b>X</b> | Trustee 3 Signature | Date |
| Trustee 4 Printed Name | <b>X</b> | Trustee 4 Signature | Date |